

Ultimate Beneficiary Owner Information Sheet (Declaration Form)

Purpose: This declaration form has been issued by the Department of Contracts in compliance with EU legislation¹ in terms of collection of information on beneficial ownership as part of the reporting and verification process under European funds.

Definition: In line with Article 3 (6) of EU Directive (EU) 2015/849 of the European Parliament and of the council of 20 May 2015, a '**beneficial owner**' refers to any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and includes at least:

(a) In the case of corporate entities:

- (i) the natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information;

A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), shall be an indication of indirect ownership. This applies without prejudice to the right of Member States to decide that a lower percentage may be an indication of ownership or control. Control through other means may be determined, inter alia, in accordance with the criteria in Article 22(1) to (5) of Directive 2013/34/EU of the European Parliament and of the Council;

- (ii) if, after having exhausted all possible means and provided there are no grounds for suspicion, no person under point (i) is identified, or if there is any doubt that the person(s) identified are the beneficial owner(s), the natural person(s) who hold the position of senior managing official(s), the obliged entities shall keep records of the actions taken in order to identify the beneficial ownership under point (i) and this point;

(b) In the case of trusts:

- (ii) the settlor; (ii) the trustee(s); (iii) the protector, if any; (iv) the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates; (v) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means;
- (c) in the case of legal entities such as foundations, and legal arrangements similar to trusts, the natural person(s) holding equivalent or similar positions to those referred to in point (b).

¹ DIRECTIVE (EU) 2015/849 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC; REGULATION (EU) 2021/241 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 12 February 2021 establishing the Recovery and Resilience Facility.

SECTION A - Company Identification

This section refers to information pertaining to the bidder company.

Particulars of the Bidding Company	
Full legal name of the company (including legal form of legal entities)	
Contact address (registered office for legal entities)	
Declaration prepared by:	<i>(Name and Last name)</i>
Position:	
Email address:	
Telephone Number:	

SECTION B - Beneficial ownership declaration

Details about the beneficial owner(s) should be provided here. Where, in accordance with the beneficial ownership definition, there are more than one owner, the information should be provided for all owners. The below table is to be replicated as necessary.

The beneficial owner/s of the proposed company is/are the following:

Particulars of Beneficial Owner (a)	
Name:	
Date of Birth:	
Nationality:	
Country of Residence:	
Official Identification number:	
Document type:	
Country of Issue:	
Information about how ownership is held or control over the company is exercised²	% of shares : <i>[To be stated as a percentage of total ownership and/or control]</i>
	% of direct voting rights: <i>[To be stated as a percentage of total ownership and/or control]</i>
	% of indirect voting rights: <i>[To be stated as a percentage of total ownership and/or control]</i>
	Other: <i>[Where control over the company is exercised through other means, details should be provided here.]</i>

² Describe the beneficial ownership indicating whether it is direct or indirect ownership of 25% plus one or more of the shares or more than 25% of the voting rights or other ownership interests, including through bearer shares or through control by other means such as a power to appoint or remove the majority of directors, shareholders' agreements and agreements between shareholders and the company.

Provide any other useful information aimed at adequately identifying the Ultimate Beneficial Owners.

If, after having exhausted all possible means, no person is identified in line with the above definition, the natural person(s) who hold the position of Senior Managing Official(s), should be provided in the section below:

Particulars of Senior managing official (b)		Designation / Capacity
Name:		
Date of Birth:		
Nationality:		
Country of Residence:		
Official Identification number:		
Document type:		
Country of Issue:		
<p><i>Please provide an explanation of the reasons for identifying the Senior Managing Officials of the company as beneficial owners.</i></p>		

Declaration:

I, the undersigned, for and on behalf of the reporting entity confirm that all information provided in the above beneficial ownership declaration is accurate and reliable.

[Name]_____

[Position]_____

[Signature]_____

Further information substantiating the information provided about is being attached:

YES ☐ NO ☐